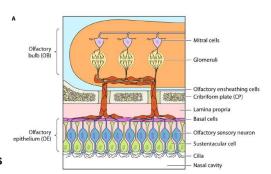


Routes of Entry

- Naso-Pharyngeal Fraction
 - S. pneumonia
 - N. meningitidis
 - H. influenza
 - VEE, WEE, EEE
 - Herpesviruses
 - Influenza A
 - Paramyxoviruses
 - Rabies virus



Samantha J. Dando, Alan Mackay-Sim, et al, Clinical Microbiology Reviews Oct 2014, 27 (4) 691-726;

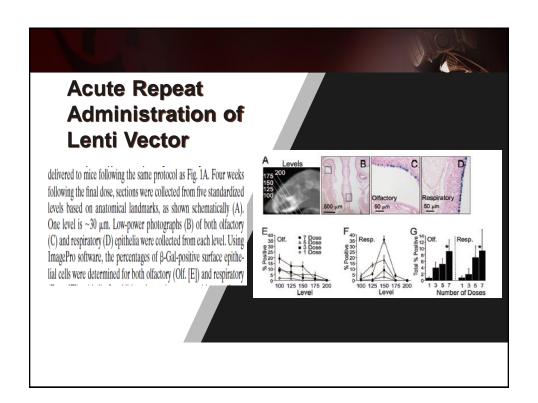
Viral Vector Risks

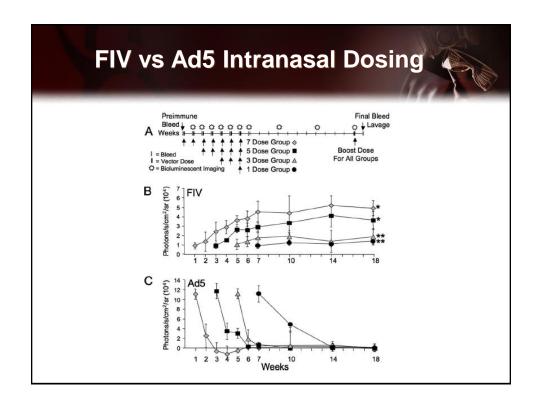


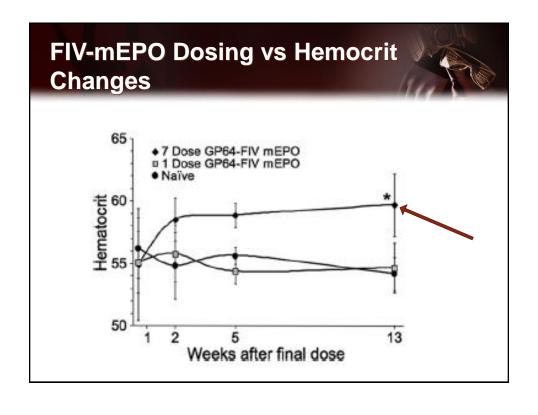
- Viral Vectors
 - Lentivirus
 - Risk of chromosomal integration & insertional mutagenesis (1) (2) (3)
 - Risk of healthy worker genome modificationmultiple gene copies
- Bushman F, et al. Genome-wide analysis of retroviral DNA integration. Nat Rev Microbiol. 2005;3(11):848–858.
- Hacein-Bey-Abina S, et al. Insertional oncogenesis in 4 patients after retrovirus-mediated gene therapy of SCID-X1. J Clin Invest. 2008;118(9):3132–3142.
- Schlimgen R, Howard J, Wooley D, et al. Risks Associated With Lentiviral Vector Exposures and Prevention Strategies. J Occup Environ Med. 2016;58(12):1159-1166. doi:10.1097/JOM.00000000000000879

Lentivirus Vector Can Be Readministered to Nasal Epithelia without Blocking Immune Responses

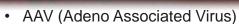
- SINN ET AL, JOURNAL OF VIROLOGY,
- Vol. 82, No. 21, Nov. 2008, p. 10684–10692.







Viral Vector Risks



- Hepatocellular carcinoma
 - Clonal integration of wt-adeno-associated virus type 2 (AAV2) in 11 of 193 HCCs.
 - These AAV2 integrations occurred in known cancer driver genes, namely CCNA2 (cyclin A2; four cases), TERT (telomerase reverse transcriptase; one case), CCNE1 (cyclin E1; three cases), TNFSF10 (tumor necrosis factor superfamily member 10; two cases) and KMT2B (lysine-specific methyltransferase 2B; one case). (1)
 - Because no liver tumors have been observed to date in patients treated using AAV vectors for gene therapy, the risk of HCC is probably very low, if it exists at all. However, we must consider the two independent mouse models of AAV vectorization that developed HCC through clonal oncogenic insertion. (2)
 - 1. Berns KI, Byrne BJ, Flotte TR, et al. Adeno-Associated Virus Type 2 and Hepatocellular Carcinoma?. *Hum Gene Ther*. 2015;26(12):779-781. doi:10.1098/hum.2015.29014.kib.
 2. Nault JC, Mami I, La Bella T, et al. Wild-type AAV Insertions in Hepatocellular Carcinoma Do Not Inform
 - Nault JC, Mami I, La Bella T, et al. Wild-type AAV Insertions in Hepatocellular Carcinoma Do Not Inform Debate Over Genotoxicity Risk of Vectorized AAV. Mol Ther. 2016;24(4):660-661. doi:10.1038/mt.2016.47

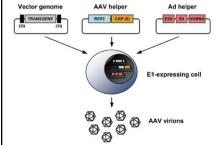
Adeno-Associated Virus (AAV) Risk



- AAV viral vectors were produced by combining three plasmids (Rep/Cap; pXR2 helper; TReGFP), HEK 293 cells and PEI Max into a WAVE bioreactor
- Using continuous harvest, the system produced greater than 1E14 viral genomes per L at 48 hour harvest.

AAV Production using HEK293 Cells, Plasmids and Polyethyleneimine Max

Adeno-Associated Virus (AAV) Risk



- ...enhanced its plasmid DNA delivery efficiency 21 times in vitro, as well as 10,000 times in mice with a concomitant 1,500-fold enhancement in lung specificity."
- Evaluate potential risk to workers for transfection reagents.
- Thomas et al, PNAS 2005, V102, N16, pp 5679-5684

AAV Production using HEK293 Cells, Plasmids and Polyethyleneimine Max

20020A6rA1:00((40)(6158:68-63 **Risk of Aerosol Generation** Agent Concentration 1.00E+09 Potential Release Spray Factor Potential Breathing Breathing CFU/M3 Exposure 10 Release CFU/L Exposure 1 minute CFUs minutes CFUs 2.59E-06 2590.00000 Blocked peristaltic pump 2.59000 38.85000 388.50000 1.04E-06 1040.00000 15 mL spill 0.9 m 1.04000 15.60000 156.00000 Antifoam Failure 2.20E-03 2200000.00000 2200.00000 33000.00000 330000.00000 Pipe Failure 1.40E-04 140000.00000 140.00000 2100.00000 21000.00000 Glass Vessel Shatter 3.80E-05 38000.00000 38.00000 570.00000 5700.00000 CSA 8 Centrifuge Leak 2.50E-04 250000.00000 250.00000 3750.00000 37500.00000 Metal Vessel Rupture 1.10E-03 1100000.00000 1100.00000 16500.00000 165000.00000 1.00E-04 100000.00000 Sonicator, max 1500.00000 100.00000 15000.00000 Sonicator, min 5.00E-07 500.00000 0.50000 7.50000 75 00000 Single Drop falling 1 M 2.00E-06 2000.00000 2.00000 30.00000 300.00000 Centrifuge Rotor 4.60E-06 4600.00000 4.60000 69.00000 690.00000 Centrifuge Bucket 1.70E-05 17000.00000 17.00000 255.00000 2550.00000 1280.00000 1.28000 Shaking Incubator 1.28E-06 19.20000 192 00000 Steaming Sample Valve 2.00E-06 2000.00000 2.00000 30.00000 300.00000 Smashed Flask 5.50E-05 55000.00000 55.000000 Bennett A, Parks S. Microbial aerosol generation during laboratory

accidents and subsequent risk assessment. J Appl Microbiol. 2006

Apr:100(4):658-63

Potential Risk of Gene Over-Expression

Gene Therapy Target

- ADA-SCID- Adenosine deaminase loss
- VEGF-165- Cardiac vascularization
- H-Coagulation factor IX- Hemophilia B
- · H-Fibroblast growth factor-4
- ATP7-beta- Copper accumulation
- · Micro-dystrophin

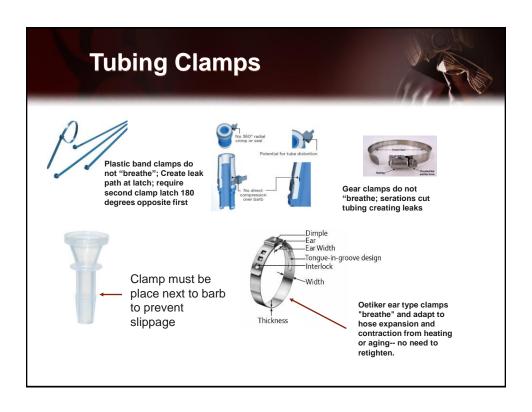
Target Gene Over-Expression

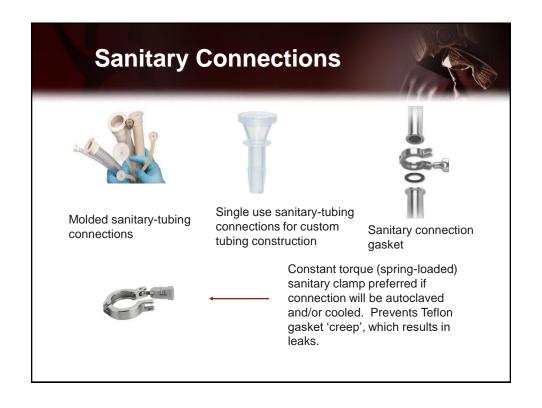
- Hemolytic anemia
- · Increased tumorigenicity
- · Deep vein thrombosis
- · Overexpressed in cancers
- · Copper excretion into bile
- 100-fold cardiac overexpression causes cardiac abnormalities

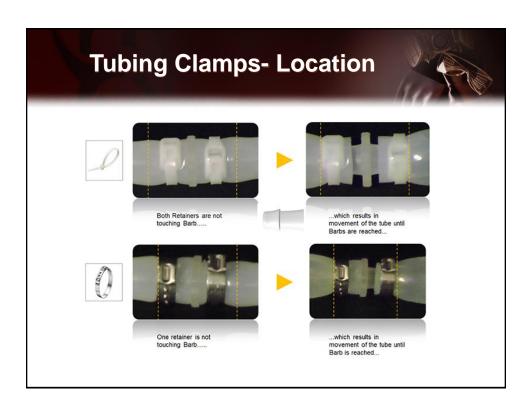


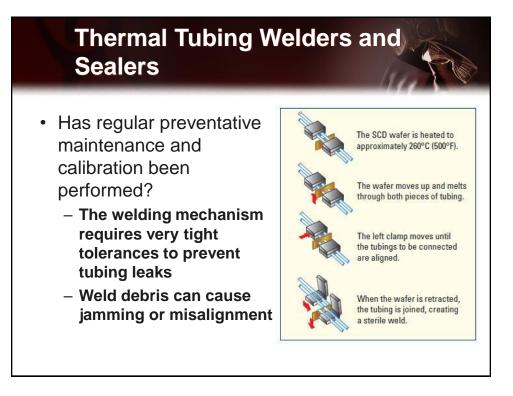
Process Step Risk Review

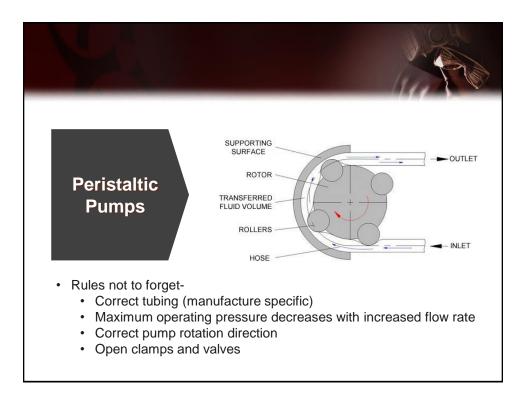
Tubing Connections and Peristaltic Pumps

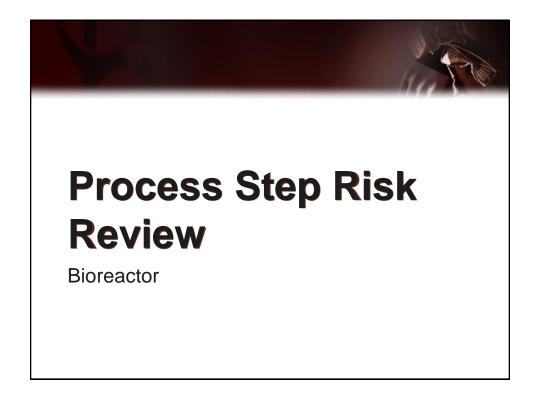




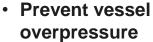






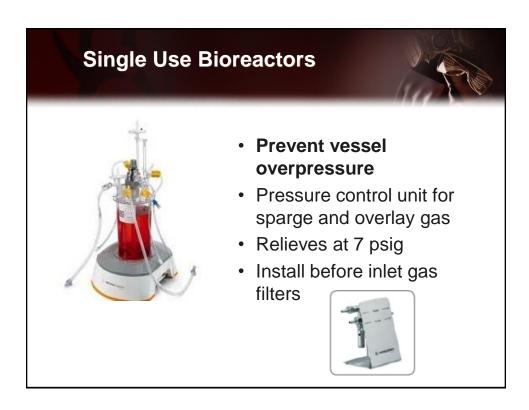


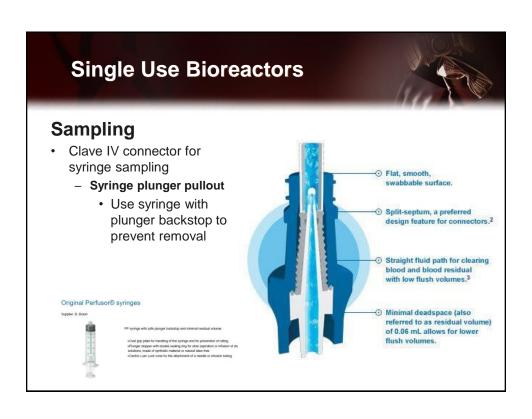




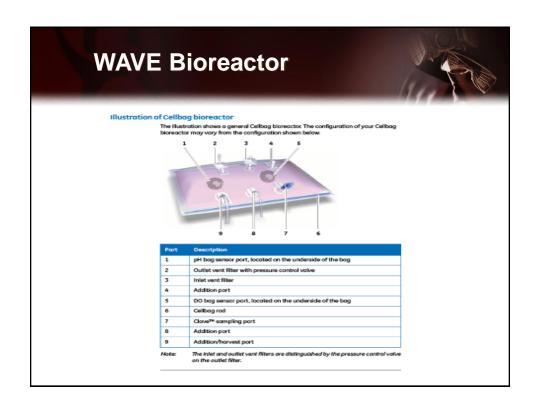
- Max pressure = 7 psig (0.5 bar)
- Overpressure will:
 - Rupture tubing connection, releasing aerosol

Single Use Bioreactors Prevent vessel overpressure Exhaust filter line vertical for drainage Filter heater to prevent condensation









Single Use Bioreactors



Prevent vessel overpressure

- Bag pressure sensor monitors and alarms
- Exhaust condenser, filter heaters and vertical position reduce risk

Single Use Bioreactors





Probe Installation

- Damage to bag fittings may cause in-process leaks
- Accidental impact can shear off bag connection
- Vendor notices for damaged bag lots miss-communicated
 - 50L SUB leaked at probe ports, releasing BSL-2 vaccine to floor



During the filling process and fermentation process, small quantities of liquid may penetrate the probe adapter.



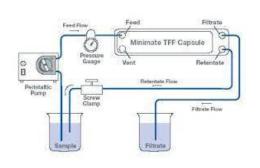
Process Step Risk Review

MF/UF/ Depth Filtration

MF/UF Filtration

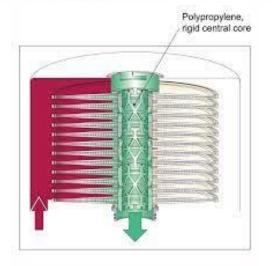


- Ultrafiltrationmolecular weight cut-off
- Concentrate virus or change media composition



Depth Filtration

- Remove
 - Lysed cells
 - Coagulated DNA
 - Particles from chromatography



MF/UF/Depth Safety Points

- Develop emergency shutdown procedure, including remote power shutdown location.
- Flow waste container shall have filtered vent and located in a secondary catch container to control overflow.
- Pressure transducer test and calibration before each campaign.
- Consider pressure alarms at 80% max pressure of column or tubing, whatever is weakest.
- Institute pressure hold tests before introducing virus.
- Place the unit inside a plastic tray to catch spillage. Install a drain connection to a carboy. Consider a conductive rope detector to alarm for leakage.

MF/UF/Depth Safety Points

- Consider a negative pressure BioBubble. Goal is to control spray. If not feasible, cover filter with plastic sheeting. Ends are tucked into spill tray
- When loading from methods library, use two-person signature to reduce choice error.
- · Tag cassettes with pressure max, methods permitted.





Process Step Risk Review

Chromatography

Chromotography

- Removes
 - Nucleic acids
 - Proteins
- Concentrate virus



Chromatography Safety Points



- Develop emergency shutdown procedure, including remote power shutdown location.
- Develop emergency plan to recover virus charge if pump fails for overpressure
- All waste containers have filtered vent and placed in a secondary container. Seal wash fluid drain tube placed under disinfectant.
- Pump seals maintenance critical. Depending on risk, change before each campaign.
- · Pressure transducer test and calibration before each campaign.
- Consider pressure alarms at 80% max pressure of column or tubing, whatever is weakest.
- · In-line filters changed before each virus campaign.

Chromatography Safety Points



- If tubing connectors are plastic nut with ferrule, consider more frequent changes.
- Institute pressure hold tests before virus use.
- Locate unit in a plastic tray to catch spillage. Place drain connection in vent-filtered carboy. Consider conductive rope leak detector.
- A BioBubble should be considered to surround the unit.
- Consider virus-free runs to confirm elution gradients do not cause precipitation, hence overpressure.
- When loading via methods library, use two-person signature to reduce choice error.
- Tag columns with pressure max, methods permitted.

